## FORM 2\* Disclosure of Owners, Investors, Managers and Controlling Parties

| Part I: Ownership Structure  |   |                               |                                 |                                  |   |                              |                               |   |  |
|--|---|-------------------------------|---------------------------------|----------------------------------|---|------------------------------|-------------------------------|---|--|
| List all persons and/or entities with any ov<br>whether they have ownership interest or r<br>license or licensed facility (collectively, "Ke<br>list all persons associated with such entity<br>List all parent, holding or other intermedia | not and anyor<br>ey Persons").<br>/, their owners | ne with<br>. If an<br>ship ir | h mana<br>entity (<br>n the en  | ging or<br>corpora<br>ntity, and | operation<br>ition, partr<br>d their effe | al cont<br>nership<br>ective | trol of to, LLC, owners       | the cultivator<br>, etc.) has interest,<br>ship in the license. |  |
| Name<br>Frederick Horatio Octavius Prince  | Title<br>Owner                                    |                               |                                 | SSN/FE                           | IN  | DOB                          |                               | App submitted?  ⊠Yes □No  |  |
| Address  | City<br>Chicago                                   |                               | State<br>IL                     | ZIF                              | 50613                                     | Phone                        | Numbe                         | er<br>er  |  |
| Business Associated with (Parent business or sub-enti  | ity)  | Own.                          | % Busine                        | ess Assoc                        | ciated with                               | 4 <del></del>                | Effecti                       | ive Own. % in Applicant   |  |
| Name<br>Christopher W. Wurtz   | Title<br>Owner                                    |                               |                                 | SSN/FEI                          | IN  | DOB                          |                               | App submitted?  ⊠Yes □No  |  |
| Address  | City<br>Chicago                                   | State IL                      |                                 |                                  | ZIP<br>60613                              |                              | Phone Number                  |   |  |
| Business Associated with (Parent business or sub-entit   | ty)   | Own.                          | % Busine                        | ess Assoc                        | ciated with                               |                              | Effective                     | ve Own. % in Applicant  |  |
| Name<br>Christopher Ames Cushing   | Title Head of Horticulture/Cultivation            |                               | vation                          | SSN/FEIN                         |   | DOB                          |                               | App submitted?  ⊠Yes □No  |  |
| Address  | City<br>Newport                                   | State<br>RI                   |                                 | ZIP<br>02                        | 02840 Phone                               |                              | Numbe                         | r   |  |
| Business Associated with (Parent business or sub-entit   | у)  | Own. %                        | % Busine                        | ss Associ                        | iated with                                | Effective Own. % in App      |                               | ve Own. % in Applicant  |  |
| Name   | Title   |                               | SS                              |                                  | SSN/FEIN                                  |                              |                               | App submitted?  ☐Yes ☐No  |  |
| Address  | City  | State                         |                                 | ZIP                              |   | Phone ( )                    | Number                        | r   |  |
| Business Associated with (Parent business or sub-entity)   |   | Own. %                        | Own. % Business Associated with |                                  |   |                              | Effective Own. % in Applicant |   |  |
| Name   | Title   |                               |                                 | SSN/FEIN                         |   | DOB                          |                               | App submitted?  ☐Yes ☐No  |  |
| Address  | City  | State                         |                                 | ZIP                              |   | Phone Number                 |                               |   |  |
| Business Associated with (Parent business or sub-entity  | ness or sub-entity) Own.                          |                               | n. % Business Associated with   |                                  |   |                              | Effective Own. % in Applicant |   |  |
| lame   | Title   |                               | \$                              | SSN/FEIN                         | 1   | DOB                          |                               | App submitted?  ☐Yes ☐No  |  |

## Rhode Island Department of Business Regulation

Application for Medical Marijuana Cultivator License

| Address  | City                                  |                     | State     | ZIP                | Phone | Phone Number                  |  |  |
|--|---------------------------------------|---------------------|-----------|--------------------|-------|-------------------------------|--|--|
|  |                                       |                     |           |                    | (     | )                             |  |  |
| Business Associated with (Parent business or sub   | o-entity)                             | Own.                | % Busines | ss Associated with | )     | Effective Own. % in Applicant |  |  |
| Name   | Title                                 |                     |           | SSN/FEIN           | DOB   | App submitted?  □Yes □No      |  |  |
| Address  | City                                  |                     | State     | ZIP                | Phone | e Number                      |  |  |
| Business Associated with (Parent business or sub   | -entity)                              | Own. %              | 6 Busines | s Associated with  |       | Effective Own. % in Applicant |  |  |
| Part II: Who, besides the owners an partnerships, corporations, limited like equipment to or for use in this business. Attach a constant | ability companie<br>ess, or hold a se | es, trus<br>ecurity | ts) will  | loan or give       | money | inventory furniture or        |  |  |
| from this business. Attach a separate  Name  |                                       |                     |           | CONTEIN            |       | 104                           |  |  |
| Train 0  | Date 01                               | Date of Birth       |           | SSN/FEIN           |       | Interest                      |  |  |
|  |                                       |                     |           |                    |       |                               |  |  |
|  |                                       |                     |           |                    |       |                               |  |  |
|  |                                       |                     |           |                    |       |                               |  |  |
| Authorized Signatory  Frederick Horatio Octavius Prince  | ne Member                             |                     | -         | 4/25/2017<br>Date  |       |                               |  |  |
| Printed Name   | ce, iviember                          |                     |           |                    |       |                               |  |  |
| riiitea Name   |                                       |                     |           |                    |       |                               |  |  |